

Electronic Acknowledgement Receipt

EFS ID:	1118187
Application Number:	09917120
Confirmation Number:	2233
Title of Invention:	Methods and systems for assisting financial services firms and their representatives
First Named Inventor:	Scott Fergusson
Customer Number:	28075
Filer:	Brian N. Tufte/Lynn Thompson
Filer Authorized By:	Brian N. Tufte
Attorney Docket Number:	1137.1101101
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Payment was successfully received in RAM	\$250
RAM confirmation Number	398
Deposit Account	500413
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Notice of Appeal Filed	11371101101noticeappealfil ed7-18-06.pdf	25029	no	1
Warnings:					
Information:					
2	Pre-Brief Conference request	11371101101preappealbrief 7-18-06.pdf	53511	no	5
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	8166	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			86706		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					